

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

4. Attached hereto as Exhibit 4 is a true and correct copy of the October 25, 2018 deposition transcript of Christopher John Carter.

5. Attached hereto as Exhibit 5 are true and correct copies of volumes 1 and 2 of the December 5 and 6, 2018 deposition transcript of Preston Chiaro.

6. Attached hereto as Exhibit 6 is a true and correct copy of the February 26, 2019 deposition transcript of Matthew Coulter.

7. Attached hereto as Exhibit 7 is a true and correct copy of the October 15, 2018 deposition transcript of Jan du Plessis.

8. Attached hereto as Exhibit 8 is a true and correct copy of the February 20, 2019 deposition transcript of Paul Dupree.

9. Attached hereto as Exhibit 9 is a true and correct copy of the September 18, 2019 deposition transcript of Guy Elliott.

10. Attached hereto as Exhibit 10 are true and correct copies of volumes 1 and 2 of the November 13 and 14, 2018 deposition transcript of Eric Finlayson.

11. Attached hereto as Exhibit 11 is a true and correct copy of the October 4, 2018 deposition transcript of Ann Godbehere.

12. Attached hereto as Exhibit 12 is a true and correct copy of the May 21, 2019 deposition transcript of Richard Hughes.

13. Attached hereto as Exhibit 13 is a true and correct copy of the May 8, 2019 deposition transcript of Jonathan Lambert.

14. Attached hereto as Exhibit 14 is a true and correct copy of the May 30, 2019 deposition transcript of Daniel Larsen.

15. Attached hereto as Exhibit 15 is a true and correct copy of the October 3, 2018 deposition transcript of Christopher Lynch.

16. Attached hereto as Exhibit 16 is a true and correct copy of the October 11, 2019 deposition transcript of Marcantonio Maglione.

17. Attached hereto as Exhibit 17 is a true and correct copy of copy of the November 8, 2018 deposition transcript of Simon Morris.

18. Attached hereto as Exhibit 18 is a true and correct copy of the June 19, 2019 deposition transcript of Sarah Nelson.

19. Attached hereto as Exhibit 19 are true and correct copies of volumes 1 and 2 of the September 27 and 28, 2018 deposition transcript of Helen Newell.

20. Attached hereto as Exhibit 20 is a true and correct copy of the March 7, 2019 deposition transcript of Andrey Nikolaev.

21. Attached hereto as Exhibit 21 is a true and correct copy of the July 19, 2019 deposition transcript of Gary O'Brien.

22. Attached hereto as Exhibit 22 is a true and correct copy of the July 10, 2019 deposition transcript of David Ovington.

23. Attached hereto as Exhibit 23 are true and correct copies of volumes 1 and 2 of the February 21 and 22, 2019 deposition transcript of Douglas Ritchie.

24. Attached hereto as Exhibit 24 is a true and correct copy of the September 25, 2019 deposition transcript of Tareq Sholi.

25. Attached hereto as Exhibit 25 is a true and correct copy of the November 1, 2018 deposition transcript of Paul Tellier.

26. Attached hereto as Exhibit 26 is a true and correct copy of the March 6, 2019 deposition transcript of Delwin Günther Witthöft.

27. Attached hereto as Exhibit 27 are true and correct copies of volumes 1 and 2 of the December 12 and 13, 2018 deposition transcript of Andrew Woodley.

28. Attached hereto as Exhibit 28 is a true and correct copy of excerpts from the December 20, 2019 Expert Report of Steven Brice FCA.

29. Attached hereto as Exhibit 29 is a true and correct copy of excerpts from the April 3, 2020 Rebuttal Expert Report of Steven Brice FCA.

30. Attached hereto as Exhibit 30 is a true and correct copy of excerpts from the February 21, 2020 Expert Report of Peter E. Christensen.

31. Attached hereto as Exhibit 31 is a true and correct copy of excerpts from the December 20, 2019 Expert Report of Christopher Drewe FCA.

32. Attached hereto as Exhibit 32 is a true and correct copy of excerpts from the April 3, 2020 Rebuttal Expert Report of Christopher Drewe FCA.

33. Attached hereto as Exhibit 33 is a true and correct copy of excerpts from the February 21, 2020 Expert Report of Robert Edwards.

34. Attached hereto as Exhibit 34 is a true and correct copy of excerpts from the February 21, 2020 Expert Report of Barnaby Fletcher.

35. Attached hereto as Exhibit 35 is a true and correct copy of excerpts from the February 21, 2020 Expert Report of Glenn Hubbard.

36. Attached hereto as Exhibit 36 is a true and correct copy of excerpts from the February 21, 2020 Expert Report of John M. Lacey, Ph.D.

37. Attached hereto as Exhibit 37 is a true and correct copy of excerpts from the December 20, 2019 Expert Report of Albert Diederich Metz, Ph.D.

38. Attached hereto as Exhibit 38 is a true and correct copy of excerpts from the April 10, 2020 Expert Rebuttal Report of Albert Diederich Metz, Ph.D.

39. Attached hereto as Exhibit 39 is a true and correct copy of excerpts from the December 20, 2019 Expert Report of Chris Milburn, CPA, CMA, CBV.

40. Attached hereto as Exhibit 40 is a true and correct copy of excerpts from the April 3, 2020 Expert Rebuttal Report of Chris Milburn, CPA, CMA, CBV.

41. Attached hereto as Exhibit 41 is a true and correct copy of the July 31, 2020 deposition transcript of Steven Brice FCA.

42. Attached hereto as Exhibit 42 is a true and correct copy of the July 1, 2020 deposition transcript of Christopher Drewe FCA.

43. Attached hereto as Exhibit 43 is a true and correct copy of the June 5, 2020 deposition transcript of Barnaby Fletcher.

44. Attached hereto as Exhibit 44 is a true and correct copy of the June 26, 2020 deposition transcript of Glenn Hubbard.

45. Attached hereto as Exhibit 45 is a true and correct copy of the July 17, 2020 deposition transcript of John M. Lacey, Ph.D.

46. Attached hereto as Exhibit 46 is a true and correct copy of the June 17, 2020 deposition transcript of Albert Diederich Metz, Ph.D.

47. Attached hereto as Exhibit 47 is a true and correct copy of the June 22, 2020 deposition transcript of Chris Milburn.

48. Attached hereto as Exhibit 48 is a true and correct copy of excerpts from Rio Tinto's 2011 Annual Report.

49. Attached hereto as Exhibit 49 is a true and correct copy of excerpts from Rio Tinto's 2012 Annual Report.

50. Attached hereto as Exhibit 50 is a true and correct copy of a July 12, 2012 media release entitled "Senior management changes," bearing bates numbers RT\_SEC\_00004659 through RT\_SEC\_0004661.

51. Attached hereto as Exhibit 51 is a true and correct copy of a February 28, 2013 media release entitled "Rio Tinto appoints new chief financial officer and streamlines executive committee," bearing bates numbers GE-SEC-0000533 through GE-SEC-0000534.

52. Attached hereto as Exhibit 52 is a true and correct copy of an excerpt from the Minutes of a November 28, 2013 Meeting of Rio Tinto's Board of Directors, bearing bates number RT\_SEC\_00207135.

53. Attached hereto as Exhibit 53 is a true and correct copy of a December 31, 2011 memorandum re: "Rio Tinto - Notes of introductory meeting with Guy Elliott on 13 May 2011," bearing bates numbers PwCUK000000178\_0001 through PwCUK000000178\_0002.

54. Attached hereto as Exhibit 54 is a true and correct copy of "First impressions of Richard Hughes," bearing bates numbers PwCUK000004591\_0001 through PwCUK000004591\_0002.

55. Attached hereto as Exhibit 55 is a true and correct copy of excerpts from a March 17, 2010 Rio Tinto Controllers Manual, bearing bates numbers RT\_SEC\_00011810-13, RT\_SEC\_00011952-54, RT\_SEC\_00011969-75, RT\_SEC\_00011979-81, RT\_SEC\_00012140-42.

56. Attached hereto as Exhibit 56 is a true and correct copy of excerpts from a July 23, 2010 “Noting Paper on the Acquisition of Riversdale” from Doug Ritchie, bearing bates numbers RT\_00001581 through RT\_00001583.

57. Attached hereto as Exhibit 57 is a true and correct copy of a November 2010 paper entitled “Rio Tinto Investment Committee, The Acquisition of Riversdale” from Doug Ritchie, bearing bates numbers RT\_00001161 and RT\_00001163-65.

58. Attached hereto as Exhibit 58 is a true and correct copy of excerpts from a presentation entitled “Overview of Board strategy Agenda,” bearing bates numbers RT\_00051944 and RT\_00051959-61.

59. Attached hereto as Exhibit 59 is a true and correct copy of excerpts from a November 17, 2011 email from Matthew Coulter to Eric Finlayson, *et al.*, bearing bates numbers RT\_00013203 through RT\_00013205.

60. Attached hereto as Exhibit 60 is a true and correct copy of excerpts from a December 7, 2011 email from Eric Finlayson to Matt Coulter, *et al.*, bearing bates numbers RT\_00237820 through RT\_00237821.

61. Attached hereto as Exhibit 61 is a true and correct copy of a May 28, 2012 email from Doug Ritchie to Matt Coulter, bearing bates numbers RT\_00057337 through RT\_00057339.

62. Attached hereto as Exhibit 62 is a true and correct copy of excerpts from the August 31, 2010 Minutes of a Meeting of the Rio Tinto Investment Committee, bearing bates numbers RT\_SEC\_00154426 and RT\_SEC\_00154427-28.

63. Attached hereto as Exhibit 63 is a true and correct copy of excerpts from the November 18, 2010 Minutes of a Meeting of the Rio Tinto Investment Committee, bearing bates numbers RT\_SEC\_00154430 through RT\_SEC\_00154432.

64. Attached hereto as Exhibit 64 is a true and correct copy of excerpts from the December 14, 2010 Minutes of the Rio Tinto Investment Committee, bearing bates numbers RT\_SEC\_00220001 and RT\_SEC\_00220005.

65. Attached hereto as Exhibit 65 is a true and correct copy of excerpts from a document entitled “Rio Tinto Board and Executive Committee Visit: South Africa, 17-22 September 2012,” bearing bates numbers RT\_00207043 and RT\_00207063-67.

66. Attached hereto as Exhibit 66 is a true and correct copy of excerpts from a report entitled “Project Ralph Technical Due Diligence,” bearing bates numbers RT\_00272735, RT\_00272737-39, and RT\_00272750-52.

67. Attached hereto as Exhibit 67 is a true and correct copy of a presentation entitled “Project Ralph, Board Meeting – 16 December 2010,” bearing bates numbers RT\_00010760 through RT\_00010766.

68. Attached hereto as Exhibit 68 is a true and correct copy of excerpts from an August 31, 2010 paper entitled “The Acquisition of Riversdale Mining” by Doug Ritchie, bearing bates numbers RT\_00001187 through RT\_00001206.

69. Attached hereto as Exhibit 69 is a true and correct copy of excerpts from a November 16, 2010 report entitled “Project Ralph Geology and Coal Resources Due Diligence,” bearing bates numbers RT\_00002280 and RT\_00002311-13.



70. Attached hereto as Exhibit 70 is a true and correct copy of excerpts from a November 8, 2010 report entitled “Project Ralph Limited HR Due Diligence,” bearing bates numbers SEC-PWC-E-0000887, and SEC-PWC-E-0000957-59.

71. Attached hereto as Exhibit 71 is a true and correct copy of excerpts from a July 28, 2011 report entitled “Acquisition of Riversdale Mining Limited, Valuation of Mineral Interests.”

72. Attached hereto as Exhibit 72 is a true and correct copy of a February 22, 2013 memorandum from Nevan Pillay to Kevin Fox re: “Minjova Note for the Record,” bearing bates numbers RT\_00487213 through RT\_00487217.

73. Attached hereto as Exhibit 73 is a true and correct copy of a November 13, 2012 email from Tareq Sholi to Renee Kevern, *et al.*, attaching a July 6, 2012 memorandum re: “2012 Half Year Accounting Issues,” bearing bates numbers RT\_00096816 through RT\_00096820.

74. Attached hereto as Exhibit 74 is a true and correct copy of excerpts from a November 8, 2011 Earn In Minjova Shareholders Agreement, bearing bates numbers RT\_00301045 and RT\_00301073-75.

75. Attached hereto as Exhibit 75 is a true and correct copy of excerpts from a May 15, 2012 email from Simon Morris to Caroline Vilar, *et al.*, attaching a presentation entitled “Rio Tinto Coal Mozambique Business Review Brisbane, May 11, 2012,” bearing bates numbers RT\_00335784-85, and RT\_00335789-94.

76. Attached hereto as Exhibit 76 is a true and correct copy of a March 2013 Minjova Coal Project Report entitled “Project Handover Report 2013,” bearing bates numbers RT\_00486876-79 and RT\_00486914-23.

77. Attached hereto as Exhibit 77 is a true and correct copy of a November 24, 2010 email from Andy Lloyd to Keith Sims, *et al.*, attaching an August 19, 2014 memorandum re: “Minjova Coal review,” bearing bates numbers RT\_00288168 through RT\_00288174.

78. Attached hereto as Exhibit 78 is a true and correct copy of excerpts from an October 22, 2012 Minutes of a Meeting of the Rio Tinto Audit Committee, bearing bates numbers RT\_SEC\_00218973 and RT\_SEC\_00218976.

79. Attached hereto as Exhibit 79 is a true and correct copy of excerpts from an October 22, 2012 Paper on Accounting Issues from Dan Larsen and PricewaterhouseCoopers, bearing bates numbers RT\_00000295 through RT\_00000299.

80. Attached hereto as Exhibit 80 is a true and correct copy of excerpts from a Mozambique & RSA Coal Monthly Report for October 2011, bearing bates numbers RT\_00267571 through RT\_00267572.

81. Attached hereto as Exhibit 81 is a true and correct copy of excerpts from a December 9, 2011 presentation entitled “Rio Tinto Coal Mozambique Business Review,” bearing bates numbers RT\_00025537 and RT\_00025549-51.

82. Attached hereto as Exhibit 82 is a true and correct copy of excerpts from a July 31, 2011 memorandum from Drew Sargent to Nevan Pillay re: “Minjova, Mozambique Quarterly – 2nd Quarter 2011,” bearing bates numbers RT\_SEC\_00181421 and RT\_SEC\_00181423-25.

83. Attached hereto as Exhibit 83 is a true and correct copy of excerpts from January 13, 2012 memorandum from Nevan Pillay to Kevin Fox re: “Quarterly Report – Q4 2011,” bearing bates numbers RT\_SEC\_00166029 and RT\_SEC\_00166069-71.

84. Attached hereto as Exhibit 84 is a true and correct copy of a September 8, 2011 email from Peter Eccleston to Matt Coulter, bearing bates numbers RT\_00271817 through RT\_00271818.

85. Attached hereto as Exhibit 85 is a true and correct copy of excerpts from February 2012 document entitled “Rio Tinto’s Project Evaluation Guidance (PEG), Volume 1 - Principles and Process,” bearing bates numbers RT\_00000750 and RT\_00000758-61.

86. Attached hereto as Exhibit 86 is a true and correct copy of excerpts from a July 19, 2012 Project Development and Implementation document entitled “Study definition release 3 Guidance notes.”

87. Attached hereto as Exhibit 87 is a true and correct copy of a November 18, 2010 “Investment Committee Submission” from TEG entitled “Project Ralph,” bearing bates numbers RT\_00001175 through RT\_00001176.

88. Attached hereto as Exhibit 88 is a true and correct copy of excerpts from a document entitled “RTCM Coal Chain Position Paper - September 2011” bearing bates number RT\_00022911 through RT\_00022921.

89. Attached hereto as Exhibit 89 is a true and correct copy of excerpts from the May 21, 2012 Global Security - Intelligence Report entitled “Riversdale: A Review of the Political, Business and Community Factors, Influences [sic] and Issues Locally and Internationally Impacting on the Riversdale Investment,” bearing bates numbers RT\_00200544 and RT\_00200552-57.

90. Attached hereto as Exhibit 90 is a true and correct copy of excerpts from an October 24, 2011 briefing note for visit by President of Mozambique entitled “Community relations

approach and examples” and “Mozambique Strategy for Integrated Transport System Development,” bearing bates numbers RT\_SEC\_00072323, RT\_SEC\_00072332-35.

91. Attached hereto as Exhibit 91 is a true and correct copy of excerpts from an August 2011 Final Environmental and Social Impact Assessment Report, bearing bates numbers SEC-SEC-E-0011942 through SEC-SEC-E-0011944.

92. Attached hereto as Exhibit 92 is a true and correct copy of a July 22, 2011 email from Andrew Woodley to Alan Menton, bearing bates numbers RT\_00020254 through RT\_00020258.

93. Attached hereto as Exhibit 93 is a true and correct copy of a July 12, 2010 email from Peter Eccleston to Matt Coulter, bearing bates numbers RT\_00246064 through RT\_00246065.

94. Attached hereto as Exhibit 94 is a true and correct copy of a July 8, 2011 email from Helen Newell to Andrew Woodley, *et al.*, bearing bates numbers RT\_00261383 through RT\_00261387.

95. Attached hereto as Exhibit 95 is a true and correct copy of excerpts from an October 31, 2011 Mozambique President Visit Itinerary bearing bates numbers RT\_00236794 through RT\_00236796.

96. Attached hereto as Exhibit 96 is a true and correct copy of an October 16, 2011 email from Eric Finlayson to Fiona Nicholls, *et al.*, *attaching* a document entitled “Mozambique President Visit Communications Plan,” bearing bates numbers RT\_00236325 through RT\_00236328.

97. Attached hereto as Exhibit 97 is a true and correct copy of excerpts from a November 13, 2011 document entitled “Future Corridors – President’s Visit Follow Up,” bearing bates numbers RT\_00024993 through RT\_00024995.

98. Attached hereto as Exhibit 98 is a true and correct copy of a December 1, 2011 email from Ivo Lourenco to Alan Menton, *et al.*, *attaching* a document entitled “Note of meeting with the Ministry of Environment, 30/Nov/11, Maputo,” bearing bates numbers RT\_00074946 through RT\_00074949.

99. Attached hereto as Exhibit 99 is a true and correct copy of excerpts from a January 24, 2012 email from Eric Finlayson to Brendon Brodie-Hall, bearing bates numbers RT\_00028741 through RT\_00028742.

100. Attached hereto as Exhibit 100 is a true and correct copy of excerpts from a December 14, 2011 letter from Dra. Ana Paula Samo Gudo Chichava to Rio Tinto Mozambique, *attaching* a document entitled “Riversdale Zambezi River Coal Barging Project, Review Report of the Environmental Impact Assessment Report (REIA),” bearing bates numbers RT\_SEC\_00077409-13 and RT\_SEC\_00077417-19.

101. Attached hereto as Exhibit 101 is a true and correct copy of excerpts from documents entitled “Permits and Approval Required for Field Work, Rev. 1 prepared by Christian Taylor”; “Barging ESIA Re-engagement Plan;” and “Government Engagement Strategy: Corridors, Agenda for Conference Call Monday 21 March 2012,” bearing bates numbers RT\_00042019 through RT\_00042022.

102. Attached hereto as Exhibit 102 is a true and correct copy of a March 2, 2012 email from Claire Dubois, bearing bates numbers RT\_00036251 through RT\_00036253.

103. Attached hereto as Exhibit 103 is a true and correct copy of a report entitled *Mozambique; Environmental Concerns Nix Coal Export Plan*, Africa News, March 2, 2012.

104. Attached hereto as Exhibit 104 is a true and correct copy of a report entitled *Mozambique Blocks Rio Tinto coal barging*, Agence France Presse, March 2, 2012.

105. Attached hereto as Exhibit 105 is a true and correct copy of a report entitled *Mozambique Blocks Rio Tinto Transporting Coal on Zambezi River*, Dow Jones Institutional News, March 2, 2012.

106. Attached hereto as Exhibit 106 is a true and correct copy of a report entitled *Rio Tinto hits hurdle in barge bid*, The Australian Financial Review, March 5, 2012.

107. Attached hereto as Exhibit 107 is a true and correct copy of a report entitled *Barge Transportation of Coal Via Zambezi River Becomes Difficult; Government of Mozambique Does Not Approve Construction of Barge Facilities*, Tex Energy Report, March 6, 2012.

108. Attached hereto as Exhibit 108 is a true and correct copy of a report entitled *Ripples widen from Rio's Zambezi blow*, The Australian Financial Review, March 12, 2012.

109. Attached hereto as Exhibit 109 is a true and correct copy of a report entitled *Mozambique; Coal Barges Can Only Use Zambezi If Impacts Mitigated*, Africa News, March 15, 2012.

110. Attached hereto as Exhibit 110 is a true and correct copy of "Mozambique risk: Infrastructure risk, Economist Intelligence Unit (EIU) Risk Briefing," May 1, 2012.

111. Attached hereto as Exhibit 111 is a true and correct copy of a March 5, 2012 email from Doug Ritchie to Tom Albanese, bearing bates numbers RT\_00036257 through RT\_00036259.

112. Attached hereto as Exhibit 112 is a true and correct copy of a March 5, 2012 email from Tom Albanese to Doug Ritchie, bearing bates numbers RT\_00055874 through RT\_00055876.

113. Attached hereto as Exhibit 113 is a true and correct copy of excerpts from a Company Update: Rio Tinto Ltd., Deutsche Bank, April 24, 2012, entitled *The Big 5*.

114. Attached hereto as Exhibit 114 is a true and correct copy of excerpts from a March 20, 2012 email from Chris Maitland to Eric Finlayson, *et al.*, attaching a report by CLSA entitled *No river for Riversdale*, bearing bates numbers RT\_00445353 through RT\_00445355.

115. Attached hereto as Exhibit 115 is a true and correct copy of a December 7, 2011 email from Eric Finlayson to Helen Newell, bearing bates number RT\_00237837.

116. Attached hereto as Exhibit 116 is a true and correct copy of excerpts from a December 9, 2011 “Rio Tinto Coal Mozambique (RTCM), Letter of Intent – Investment Proposal,” bearing bates numbers RT\_00321339 and RT\_00321342-44.

117. Attached hereto as Exhibit 117 is a true and correct copy of excerpts from a document entitled “Mozambique & RSA Coal, Monthly Report for March 2012,” bearing bates numbers RT\_00159455 through RT\_00159458.

118. Attached hereto as Exhibit 118 is a true and correct copy of a March 26, 2012 email from Alan Menton to Helen Newell, *et al.*, bearing bates numbers RT\_SEC\_00083684 through RT\_SEC\_00083686.

119. Attached hereto as Exhibit 119 is a true and correct copy of a February 8, 2012 email from Eric Finlayson to Helen Newell, bearing bates number RT\_00238921.

120. Attached hereto as Exhibit 120 is a true and correct copy of a March 8, 2012 email from Alima Hussein to Emmy Bosten, *et al.* bearing bates numbers RT\_SEC\_00082972 through RT\_SEC\_00082973.

121. Attached hereto as Exhibit 121 is a true and correct copy of excerpts from an April 18, 2012 presentation entitled “Rio Tinto Coal Mozambique, Zambezi Valley, Integrated Transport Corridor Investment Proposal,” bearing bates numbers RT\_00342058 through RT\_00342060.

122. Attached hereto as Exhibit 122 is a true and correct copy of an April 19, 2012 email from Emmy Bosten to Eric Finlayson, *et al.*, *attaching* an analysis sheet of an April 18, 2012 Investment Committee meeting, bearing bates numbers RT\_00244130 through RT\_00244133.

123. Attached hereto as Exhibit 123 is a true and correct copy of an April 20, 2012 email from Andrew Woodley to Doug Ritchie, *et al.*, bearing bates numbers RT\_00056159 through RT\_00056161.

124. Attached hereto as Exhibit 124 is a true and correct copy of a draft memorandum regarding “RTCM status, position, strategy, and actions in relation to investment proposal submitted to the Government of Mozambique,” bearing bates numbers RT\_SEC\_00021846 through RT\_SEC\_00021848.

125. Attached hereto as Exhibit 125 is a true and correct copy of excerpts from a June 3, 2012 email from Doug Ritchie to Guy Elliott, *et al.*, bearing bates numbers RT\_00057393 through RT\_00057394.



126. Attached hereto as Exhibit 126 is a true and correct copy of June 6, 2012 email from Eric Finlayson to Robert Court, *et al.*, bearing bates numbers RT\_00264863 through RT\_00264864.

127. Attached hereto as Exhibit 127 is a true and correct copy of a June 12, 2012 email from Eric Finlayson to Helen Newell, bearing bates numbers RT\_00264937 through RT\_00264939.

128. Attached hereto as Exhibit 128 is a true and correct copy of a July 24, 2012 “Meeting Note,” bearing bates numbers RT\_00047699 and RT\_00047703-04.

129. Attached hereto as Exhibit 129 is a true and correct copy of excerpts from a presentation entitled “Rio Tinto Coal Mozambique Strategic Advice for the Future Corridor,” bearing bates numbers RT\_SEC\_00025167, RT\_SEC\_00025226, and RT\_SEC\_00025229-30.

130. Attached hereto as Exhibit 130 is a true and correct copy of a September 15, 2011 email from Helen Newell to Eric Finlayson, *et al.*, bearing bates numbers RT\_SEC\_00295314 through RT\_SEC\_00295315.

131. Attached hereto as Exhibit 131 is a true and correct copy of a September 12, 2012 email from Andrew Woodley to Jose Fonseca, bearing bates numbers RT\_00048405 through RT\_00048409.

132. Attached hereto as Exhibit 132 is a true and correct copy of a November 22, 2012 email from Harry Kenyon-Slaney to Matt Coulter, *et al.*, bearing bates numbers RT\_SEC\_00026790 through RT\_SEC\_00026793.

133. Attached hereto as Exhibit 133 is a true and correct copy of a September 19, 2011 email from Helen Newell to Doug Ritchie, *et al.*, bearing bates number RT\_SEC\_00295682.

134. Attached hereto as Exhibit 134 is a true and correct copy of a December 13, 2011 email from Andrew Woodley to Richard Ireland, *et al.*, bearing bates numbers RT\_00025573 through RT\_00025575.

135. Attached hereto as Exhibit 135 is a true and correct copy of a May 2, 2012 email from Andrew Woodley to Eric Finlayson, *et al.*, bearing bates number RT\_SEC\_00022979 through RT\_SEC\_00022981.

136. Attached hereto as Exhibit 136 is a true and correct copy of excerpts from a document entitled “Rio Tinto Coal Mozambique, Board and ExCO Visit to Tete Briefing Paper,” bearing bates numbers RT\_00048411 through RT\_000484119.

137. Attached hereto as Exhibit 137 is a true and correct copy of a document entitled “Rio Tinto Board and Executive Committee Visit: South Africa, 17-22 September 2012,” bearing bates numbers RT\_00048421 and RT\_00048435-42.

138. Attached hereto as Exhibit 138 is a true and correct copy of excerpts from a May 11, 2012 email from Helen Newell to Doug Ritchie, *et al.*, *attaching* a document entitled “Briefing Note for Tom’s Meeting with CEO, Vale on 14 May, 2012,” bearing bates numbers RT\_SEC\_00043780 through RT\_SEC\_00043785.

139. Attached hereto as Exhibit 139 is a true and correct copy of a June 10, 2012 email from Doug Ritchie to Tom Albanese, *et al.*, bearing bates numbers RT\_00036535 through RT\_00036536.

140. Attached hereto as Exhibit 140 is a true and correct copy of a January 27, 2012 document entitled “Chief Executive Performance as Compared with Objectives for 2011,” bearing bates numbers RT\_00223446 and RT\_00223448-50.

141. Attached hereto as Exhibit 141 is a true and correct copy of excerpts from a May 9, 2012 paper from Tom Albanese entitled “Rio Tinto Board Paper Chief Executive Report,” bearing bates numbers RT\_00223653 and RT\_00223655-58.

142. Attached hereto as Exhibit 142 is a true and correct copy of excerpts from a June 19, 2012 paper from Tom Albanese entitled “Rio Tinto Board Paper Chief Executive Report,” bearing bates numbers RT\_00223734, RT\_00223737-39, and RT\_00223744-46.

143. Attached hereto as Exhibit 143 is a true and correct copy of excerpts from a February 7, 2012 paper from Tom Albanese entitled “Rio Tinto Board Paper Chief Executive Report,” bearing bates numbers RT\_00223481 and RT\_00223484-87.

144. Attached hereto as Exhibit 144 is a true and correct copy of excerpts from the February 7, 2012 Minutes of a Meeting of the Board of Directors of Rio Tinto, bearing bates numbers RT\_SEC\_00207067 and RT\_SEC\_00207069.

145. Attached hereto as Exhibit 145 is a true and correct copy of excerpts from the March 20, 2012 Agenda for a meeting of Rio Tinto’s Board of Directors, bearing bates numbers RT\_SEC\_00211660 and RT\_SEC\_00211663-65.

146. Attached hereto as Exhibit 146 is a true and correct copy of excerpts from a June 2, 2010 report entitled “Project Ralph Geology and Coal Resources,” bearing bates number RT\_00069144 and RT\_00069155-57.

147. Attached hereto as Exhibit 147 is a true and correct copy of excerpts from an August 26, 2010 memorandum re: “Project Ralph: Review of JORC Resource Statement for Zebra,” bearing bates numbers RT\_00009058 through RT\_00009059.

148. Attached hereto as Exhibit 148 is a true and correct copy of excerpts from a November 11, 2010 memorandum re: “Project Ralph – Due Diligence,” bearing bates numbers RT\_00010093 through RT\_00010094.

149. Attached hereto as Exhibit 149 is a true and correct copy of excerpts from a presentation entitled “Project Ralph Appendix – 18 November 2010,” bearing bates numbers RT\_00035764 and RT\_00035778-80.

150. Attached hereto as Exhibit 150 is a true and correct copy of excerpts from a June 18, 2012 “Paper on Accounting Issues” from Dan Larsen and PricewaterhouseCoopers, bearing bates numbers RT\_00000240-43 and RT\_00000251-53.

151. Attached hereto as Exhibit 151 is a true and correct copy of excerpts from a January 12, 2013 email from Dan Larsen to Andrew Woodley, *et al.*, bearing bates numbers RT\_00089234 through RT\_00089235.

152. Attached hereto as Exhibit 152 is a true and correct copy of a May 9, 2012 email from Paul Dupree to Ian Luxton, *et al.*, *attaching* a draft slide entitled “Resource and Reserve definition,” bearing bates numbers RT\_00277890 through RT\_00277891.

153. Attached hereto as Exhibit 153 is a true and correct copy of a January 2011 Minjova Coal Project Report entitled “Project Handover Report 2011,” bearing bates numbers RT\_SEC\_00166154, RT\_SEC\_00166160-61, RT\_SEC\_00166203-05, and RT\_SEC\_00166208-13.

154. Attached hereto as Exhibit 154 is a true and correct copy of excerpts from a “Paper on the Status of Rio Tinto’s Mineral Resource and Ore Reserves Reporting” from Preston Chiaro, bearing bates numbers RT\_00481100 and RT\_00481102-04.

155. Attached hereto as Exhibit 155 is a true and correct copy of excerpts from the Minutes of the February 27, 2012 Meeting of the Audit Committee, bearing bates numbers RT\_00430071 through RT\_00430074.

156. Attached hereto as Exhibit 156 is a true and correct copy of a May 11, 2012 email from Brendon Brodie-Hall to Simon Wensley, *et al.*, bearing bates number RT\_00406316.

157. Attached hereto as Exhibit 157 is a true and correct copy of entries on Thomas Albanese's calendar for May 11, 2012, bearing bates numbers RT\_00458818 through RT\_00458822.

158. Attached hereto as Exhibit 158 is a true and correct copy of an April 19, 2012 calendar invitation from Doug Ritchie to Simon Wensley, *et al.*, bearing bates number RT\_00411966.

159. Attached hereto as Exhibit 159 is a true and correct copy of a July 13, 2005 calendar invitation from Ingrid Bystrom to Guy Elliott, bearing bates number RT\_00453666.

160. Attached hereto as Exhibit 160 is a true and correct copy of excerpts from a presentation entitled "Business Update and Future Direction, April 13, 2012", bearing bates numbers RT\_00056041 through RT\_00056043.

161. Attached hereto as Exhibit 161 is a true and correct copy of a December 15, 2011 email from Andrew Woodley to Richard Ireland, bearing bates numbers RT\_00025641 through RT\_00025644.

162. Attached hereto as Exhibit 162 is a true and correct copy of an April 15, 2012 email from Helen Newell to Doug Ritchie, bearing bates numbers RT\_00056084 through RT\_00056090.

163. Attached hereto as Exhibit 163 is a true and correct copy of a March 22, 2012 email from Eric Finlayson to Doug Ritchie, bearing bates number RT\_00056024.

164. Attached hereto as Exhibit 164 is a true and correct copy of excerpts from an August 8, 2012 Media Release entitled “Rio Tinto announces first half underlying earnings of \$5.2 billion,” bearing bates numbers RT\_SEC\_00269066-79 and RT\_SEC\_00269107-09.

165. Attached hereto as Exhibit 165 is a true and correct copy of a May 15, 2012 email from Laura Barbrook to Dan Larsen, *et al.*, attaching a presentation entitled “Rio Tinto Coal Mozambique Business Review,” dated May 11, 2012, bearing bates numbers RT\_00343175 through RT\_00343203.

166. Attached hereto as Exhibit 166 is a true and correct copy of a May 15, 2012 email from Eric Finlayson to Jenni Walling, bearing bates numbers RT\_00241291 through RT\_00241296.

167. Attached hereto as Exhibit 167 is a true and correct copy of excerpts from a presentation entitled “Rio Tinto Coal Mozambique Business Review,” dated May 11, 2012, bearing bates numbers RT\_00349008 and RT\_00349030-32 (plus native slides).

168. Attached hereto as Exhibit 168 is a true and correct copy of excerpts from a May 11, 2012 presentation entitled “CEO & CFO Briefing,” bearing bates numbers RT\_SEC\_00299362 and RT\_SEC\_00299373.

169. Attached hereto as Exhibit 169 is a true and correct copy of excerpts from a January 10, 2013 memorandum re: “RTCM’s December update to RT Energy CEO,” bearing bates numbers RT\_00259699 through RT\_00259701.

170. Attached hereto as Exhibit 170 is a true and correct copy of excerpts from a presentation entitled “RTCM - Exploration August Update,” bearing bates numbers RT\_00076475-87 and RT\_00076494-5.

171. Attached hereto as Exhibit 171 is a true and correct copy of excerpts from a presentation entitled “RTCM Exploration 2012 Update,” bearing bates numbers RT\_00280889 through RT\_00280893.

172. Attached hereto as Exhibit 172 is a true and correct copy of excerpts from a presentation entitled “Rio Tinto Coal Mozambique Business plan 2013 – 2017, October 2012,” bearing bates numbers RT\_00344501 and RT\_00344511-14.

173. Attached hereto as Exhibit 173 is a true and correct copy of excerpts from a July 24, 2012 email from Simon Morris to Marcantonio Maglione, *et al.*, *attaching* a September 2012 Conceptual Growth Programme entitled “Developing a tier 1, long life, low cost coking coal business,” bearing bates numbers RT\_00325681-82, RT\_00325687-93, RT\_00325696-98, RT\_00325716-21, RT\_00325737-39, RT\_00325766-68, RT\_00325772-74.

174. Attached hereto as Exhibit 174 is a true and correct copy of a June 25, 2012 email from Rio Tinto to Jonathan Lambert, *attaching* press release entitled “Rio Tinto makes first coal shipment from Mozambique,” bearing bates numbers PwCUK000001370\_0001 through PwCUK000001370\_0004.

175. Attached hereto as Exhibit 175 is a true and correct copy of excerpts from a presentation entitled “Resource Strategy Update, April 16, 2012,” bearing bates numbers RT\_00277305 through RT\_00277308.

176. Attached hereto as Exhibit 176 is a true and correct copy of a September 27, 2012 email from Michael Taylor to Greg O'Regan, *et al.*, bearing bates numbers RT\_00280228 through RT\_00280229.

177. Attached hereto as Exhibit 177 is a true and correct copy of an August 4, 2011 memorandum re: "Minjova Weekly," bearing bates numbers RT\_SEC\_00181959 through RT\_SEC\_00181962.

178. Attached hereto as Exhibit 178 is a true and correct copy of an August 18, 2011 memorandum re: "Minjova Weekly", bearing bates numbers RT\_SEC\_00182005 through RT\_SEC\_00182008.

179. Attached hereto as Exhibit 179 is a true and correct copy of a September 8, 2011 memorandum re: "Minjova Weekly," bearing bates numbers RT\_SEC\_00181968 through RT\_SEC\_00181971.

180. Attached hereto as Exhibit 180 is a true and correct copy of excerpts from a November 3, 2011 memorandum re: "Minjova Weekly," bearing bates numbers RT\_SEC\_00181954 and RT\_SEC\_00181957-58.

181. Attached hereto as Exhibit 181 is a true and correct copy of excerpts from a July 12, 2012 memorandum re: "Quarterly Report – Q2 2012," bearing bates numbers RT\_SEC\_00291345 and RT\_SEC\_00291373-74.

182. Attached hereto as Exhibit 182 is a true and correct copy of an April 24, 2012 email from Paul Dupree to Troy Harper, *et al.*, bearing bates numbers RT\_00130811 through RT\_00130812.

183. Attached hereto as Exhibit 183 is a true and correct copy of a July 22, 2012 email from Andy Lloyd to Andrew Woodley, *et al.*, bearing bates number RT\_00046722.



184. Attached hereto as Exhibit 184 is a true and correct copy of a May 2012 RTCM Business Unit Model, bearing bates number RT\_00494469.

185. Attached hereto as Exhibit 185 is a true and correct copy of excerpts from a September 3, 2012 noting paper from Harry Kenyon-Slaney entitled “RTCM – Conceptual Growth Programme,” bearing bates numbers RT\_00076248 through RT\_00076250.

186. Attached hereto as Exhibit 186 is a true and correct copy of a March 29, 2012 email from Hariish Narayanasamy to Dugald Wishart, *attaching* a slide entitled “High Level RTCM Valuation Reconciliation,” bearing bates numbers RT\_00159281 through RT\_00159283.

187. Attached hereto as Exhibit 187 is a true and correct copy of a March 20, 2012 email from Andrew Woodley to Simon Morris, bearing bates numbers RT\_00129719 through RT\_00129722.

188. Attached hereto as Exhibit 188 is a true and correct copy of excerpts from an August 8, 2012 Citi Research report entitled “Rio Tinto Ltd (RIO.AX), Waiting for 4Q12 China Stimulus.”

189. Attached hereto as Exhibit 189 is a true and correct copy of excerpts from a document entitled “Guidelines – Cash Generating Unit Template,” bearing bates number RT\_00000739 through RT\_00000740.

190. Attached hereto as Exhibit 190 is a true and correct copy of excerpts from International Accounting Standard 36.

191. Attached hereto as Exhibit 191 is a true and correct copy of excerpts from a paper from Dan Larsen entitled “Audit Committee Meeting 26 November 2012, Review of Carrying Values,” bearing bates numbers RT\_00000331 and 00000347–49.

192. Attached hereto as Exhibit 192 is a true and correct copy of excerpts from International Financial Reporting Standard 6, *Exploration for and Evaluation of Mineral Resources*.

193. Attached hereto as Exhibit 193 is a true and correct copy of excerpts from a memorandum re: “RTCM 2012 HY impairment indicator review,” bearing bates numbers RT\_00095528 through RT\_00095534.

194. Attached hereto as Exhibit 194 is a true and correct copy of excerpts from a June 1, 2012 email from Dugald Wishart to Delwin Witthöft, *et al.*, bearing bates numbers RT\_00094395 through RT\_00094398.

195. Attached hereto as Exhibit 195 is a true and correct copy of a May 28, 2012 email from Laura Barbrook to Dan Larsen, *et al.*, bearing bates numbers RT\_00086448 through RT\_00086450.

196. Attached hereto as Exhibit 196 is a true and correct copy of a document entitled “PwC UK Personnel,” bearing bates number PwCUK000003905\_0001.

197. Attached hereto as Exhibit 197 is a true and correct copy of excerpts from an Agenda for a July 30, 2012 meeting of the Rio Tinto Audit Committee bearing bates numbers SEC-UKFCA-E-0000651, SEC-UKFCA-E-0000752-54, SEC-UKFCA-E-0000773-75, and SEC-UKFCA-E-0000821-23.

198. Attached hereto as Exhibit 198 is a true and correct copy of excerpts from a document entitled “2012 Group Audit Plan,” bearing bates numbers PwCUK000009191\_0001 and PwCUK000009191\_0005-07.

199. Attached hereto as Exhibit 199 is a true and correct copy of excerpts from a document entitled “International Standard on Review Engagements 2410, Review of Interim Financial Information Performed by the Independent Auditor of the Entity.”

200. Attached hereto as Exhibit 200 is a true and correct copy of a May 28, 2012 email from Jonathan Lambert to Paul Bendall, *et al.*, bearing bates numbers PwCUK000001301\_0001 through PwCUK000001301\_0002.

201. Attached hereto as Exhibit 201 is a true and correct copy of June 6, 2012 email from Jonathan Lambert to Richard Hughes, *et al.*, bearing bates numbers PwCUK000001331\_0001 through PwCUK000001331\_0002.

202. Attached hereto as Exhibit 202 is a true and correct copy of excerpts from a January 8, 2012 email from Richard Hughes to Susie Askew, *et al.*, bearing bates numbers PwCUK000001474\_0001 through PwCUK000001474\_0008.

203. Attached hereto as Exhibit 203 is a true and correct copy of excerpts from a July 17, 2012 PwC workpaper: “Agenda—Controllers Meeting—Energy,” bearing bates numbers PwCUK000000196\_0001 through PwCUK000000196\_0003.

204. Attached hereto as Exhibit 204 is a true and correct copy of a December 31, 2012 memorandum re: “Meeting with Guy Elliott, CFO, on 23 July 2012,” bearing bates numbers SEC-UKFCA-E-00000231 through SEC-UKFCA-E-00000232.

205. Attached hereto as Exhibit 205 is a true and correct copy of a July 18, 2012 calendar invitation from Bridget McGuane to Delwin Witthöft, *et al.*, bearing bates number RT\_00432020.

206. Attached hereto as Exhibit 206 is a true and correct copy of excerpts from a July 16, 2012 memorandum re: “RTCM 2012 HY Impairment Indicator review,” bearing bates numbers PwCUK000001423\_0001 through PwCUK000001423\_0005.

207. Attached hereto as Exhibit 207 is a true and correct copy of excerpts from a July 30, 2012 “Paper on Accounting Issues” from Dan Larsen and PricewaterhouseCoopers, bearing bates numbers RT\_00000267 through RT\_00000284.

208. Attached hereto as Exhibit 208 is a true and correct copy of excerpts from a January 25, 2012 email from Carrie Foster to Andrew Nehill, *et al.*, bearing bates number RT\_00335615 through RT\_00335617.

209. Attached hereto as Exhibit 209 is a true and correct copy of a May 31, 2012 email from Dugald Wishart to Laura Barbrook, *et al.*, bearing bates numbers RT\_00094279 through RT\_00094282.

210. Attached hereto as Exhibit 210 is a true and correct copy of excerpts from a May 29, 2012 email from Delwin Witthöft to Mark Shannon, *et al.*, bearing bates numbers RT\_00094145 through RT\_00094146.

211. Attached hereto as Exhibit 211 is a true and correct copy of excerpts from a March 5, 2012 email from Factiva to Jonathan Lambert, bearing bates number PwCUK000000934\_0001 and PwCUK000000934\_0006-08.

212. Attached hereto as Exhibit 212 is a true and correct copy of excerpts from a March 12, 2012 email from Factiva to Jonathan Lambert, bearing bates numbers PwCUK000000989\_0001 and PwCUK000000989\_0016-18.

213. Attached hereto as Exhibit 213 is a true and correct copy of excerpts from a document entitled “Rio Tinto – notes of meeting with Dan Larsen on 8 March 2012,” bearing bates numbers PwCUK000000233\_0001 through PwCUK000000233\_0002.

214. Attached hereto as Exhibit 214 is a true and correct copy of a January 30, 2012 email from Jonathan Lambert to Sarah Nelson, *et al.*, bearing bates numbers PwCUK000006596\_0001 through PwCUK000006596\_0003.

215. Attached hereto as Exhibit 215 is a true and correct copy of excerpts from the Minutes of a June 18, 2012 Meeting of the Rio Tinto Audit Committee, bearing bates number RT\_00433280 through RT\_00433283.

216. Attached hereto as Exhibit 216 is a true and correct copy of a June 6, 2012 email from Delwin Witthöft to Suzie Askew, bearing bates number. RT\_00094621.

217. Attached hereto as Exhibit 217 is a true and correct copy of excerpts from a June 7, 2012 email from Delwin Witthöft to Suzie Askew, *et al.*, bearing bates numbers RT\_00094725 through RT\_00094726.

218. Attached hereto as Exhibit 218 is a true and correct copy of excerpts from a June 8, 2012 email from Delwin Witthöft to Suzie Askew, *et al.*, bearing bates number RT\_00086679.

219. Attached hereto as Exhibit 219 is a true and correct copy of excerpts from a June 8, 2012 email from Delwin Witthöft to Suzie Askew, bearing bates numbers RT\_00094907 through RT\_00094908.

220. Attached hereto as Exhibit 220 is a true and correct copy of a June 7, 2012 email from Delwin Witthöft to Guy Elliott, *et al.*, bearing bates number RT\_SEC\_00283366 through RT\_SEC\_00283367.

221. Attached hereto as Exhibit 221 is a true and correct copy of excerpts from a July 26, 2012 email from Dan Larsen to Guy Elliott, bearing bates numbers RT\_00087367 through RT\_00087368.

222. Attached hereto as Exhibit 222 is a true and correct copy of excerpts from a July 12, 2012 email from Simon Morris to Dugald Wishart, *et al.*, bearing bates number RT\_00095299.

223. Attached hereto as Exhibit 223 is a true and correct copy of excerpts from a July 13, 2012 email from Rob Russell-Smith to Simon Morris, *et al.*, bearing bates number RT\_00095409.

224. Attached hereto as Exhibit 224 is a true and correct copy of a receipt of acquisition model, bearing bates number SEC-PWC-E-0000111 through SEC-PWC-E-0000112 PwC.

225. Attached hereto as Exhibit 225 is a true and correct copy of a July 11, 2012 email from Olivier Rey to Alexander Cooper, bearing bates number PwCUK000009468\_0001.

226. Attached hereto as Exhibit 226 is a true and correct copy of a November 1, 2013 email from Jonathan Lambert to Nigel Comello, *attaching* “RTCM BU model – 10.1.13.zipx,” bearing bates numbers PwCUK000002406\_0001 through PwCUK000002407\_0001.

227. Attached hereto as Exhibit 227 is a true and correct copy of a document entitled “Business Unit Report on Financial Statements: 6 Months to 30 June 2012,” bearing bates number RT\_00487409.

228. Attached hereto as Exhibit 228 is a true and correct copy of excerpts from the Agenda for the July 30, 2012 meeting of Rio Tinto’s Audit Committee, bearing bates number PwCUK000009126\_0001 through PwCUK000009126\_0003.

229. Attached hereto as Exhibit 229 is a true and correct copy of excerpts from a July 16, 2012 email from Suzie Askew to Delwin Witthöft, *et al.*, bearing bates numbers RT\_00095538 through RT\_00095539.

230. Attached hereto as Exhibit 230 is a true and correct copy of excerpts from a July 18, 2012 email from Delwin Witthöft to Dan Larsen, *et al.*, *attaching* current versions of accounting issue papers bearing bates number RT\_00086972.

231. Attached hereto as Exhibit 231 is a true and correct copy of a July 20, 2012 email from Delwin Witthöft to Suzie Askew, *et al.*, bearing bates number RT\_00095736.

232. Attached hereto as Exhibit 232 is a true and correct copy of excerpts from a document entitled “Financial Systems and Controls: Methodology for Evaluating the Effectiveness of Internal Control Over Financial Reporting,” bearing bates numbers PwCUK000008041\_0001 through PwCUK000008041\_0005.

233. Attached hereto as Exhibit 233 is a true and correct copy of a “Paper on Internal Control Over Financial Reporting” by Laura Barbrook, bearing bates numbers RT\_00364042 through RT\_00364045.

234. Attached hereto as Exhibit 234 is a true and correct copy of an excerpt from a March 4, 2013 paper from John Catford entitled “Internal Control Over Financial Reporting - Management Assessment 2012,” bearing bates number RT\_SEC\_00216635.

235. Attached hereto as Exhibit 235 is a true and correct copy of excerpts from a July 6, 2011 document entitled “2011 Group Audit Plan,” bearing bates numbers PwCUK000004524\_0001, PwCUK000004524\_0006-08, and PwCUK000004524\_0024-26.

236. Attached hereto as Exhibit 236 is a true and correct copy of excerpts from a June 11, 2012 document entitled “2012 Group Audit Plan,” bearing bates numbers PwCUK000009196\_0001, PwCUK000009196\_0006-08, and PwCUK000009196\_0027-29.

237. Attached hereto as Exhibit 237 is a true and correct copy of excerpts from a PwC workpaper entitled “Test Controls Over Impairment” for the period ending December 31, 2012, bearing bates number SEC-UKFCA-E-0001354 and SEC-UKFCA-E-0001357.

238. Attached hereto as Exhibit 238 is a true and correct copy of excerpts from a March 5, 2012 letter from Rio Tinto to PricewaterhouseCoopers, bearing bates numbers RT\_SEC\_00188418 and RT\_SEC\_00188425-27.

239. Attached hereto as Exhibit 239 is a true and correct copy of excerpts from Rio Tinto’s 2012 Form 20-F.

240. Attached hereto as Exhibit 240 is a true and correct copy of a report entitled *FED Rio Tinto profit down, but is bullish*, Australian Associated Press Financial News Wire, August 8, 2012.

241. Attached hereto as Exhibit 241 is a true and correct copy of excerpts from a document entitled “Edited Transcript: Rio.L Interim 2012 Rio Tinto Plc Earnings Presentation,” bearing bates numbers RT\_SEC\_00121849 through RT\_SEC\_00121875.

242. Attached hereto as Exhibit 242 is a true and correct copy of excerpts from an August 9, 2012 email from Ben Pearson to Christine Irvine, *et al.*, attaching a document entitled “Rio Tinto Half Year Results 2012 US Conference Call August 8, 2012,” bearing bates numbers RT\_SEC\_00290676 through RT\_SEC\_00290689.

243. Attached hereto as Exhibit 243 is a true and correct copy of an order entered by this Court on March 18, 2019 (ECF No. 135).

244. Attached hereto as Exhibit 244 is a true and correct copy of excerpts from a document entitled “Shareholder/Analyst Call, Thursday, November 29, 2012 3:30 AM GMT,” bearing bates numbers RT\_SEC\_00294847-50, RT\_SEC\_00294858-60, and RT\_SEC\_00294869-71.



245. Attached hereto as Exhibit 245 is a true and correct copy of excerpts from a document entitled “Investor Relations Team Compliance Process: Investor seminars – controls and content,” bearing bates numbers RT\_SEC\_00283389 through RT\_SEC\_00283390.

246. Attached hereto as Exhibit 246 is a true and correct copy of an August 6, 2012 email from Alison Smith to Chris Maitland, bearing bates number RT\_SEC\_00294097.

247. Attached hereto as Exhibit 247 is a true and correct copy of a July 29, 2012 email from Pedro Botte to Andrew Field, *et al.*, *attaching* a document entitled “[Key Business Issues] HY 2012 (RTCM),” bearing bates numbers RT\_00215981 through RT\_00215985.

248. Attached hereto as Exhibit 248 is a true and correct copy of a July 29, 2012 email from Pedro Botte to Eric Finlayson, *et al.*, *attaching* a document entitled “[Key Business Issues] HY 2012 (RTCM),” bearing bates numbers RT\_00047293 through RT\_00047297.

249. Attached hereto as Exhibit 249 is a true and correct copy of an excerpt from an August 6, 2012 email from Alison Smith to Doug Ritchie, bearing bates number RT\_SEC\_00294285.

250. Attached hereto as Exhibit 250 is a true and correct copy of a November 22, 2012 email from David Ovington to Chris Maitland, *et al.*, *attaching* energy slides and script, bearing bates numbers RT\_SEC\_00291063 through RT\_SEC\_00291067.

251. Attached hereto as Exhibit 251 is a true and correct copy of a November 27, 2012 email from Mark Shannon to Chris Maitland, *et al.*, *attaching* a document entitled “TG combined script 26 Nov,” bearing bates numbers RT\_SEC\_00234907 through RT\_SEC\_00234933.

252. Attached hereto as Exhibit 252 is a true and correct copy of a November 28, 2012 email from Mark Shannon to RTHQ Team Investor Relations, *et al.*, *attaching* a document entitled “Seminar Script 28 (PM) v2,” bearing bates number RT\_SEC\_00122384 through RT\_SEC\_00122437.

253. Attached hereto as Exhibit 253 is a true and correct copy of a November 29, 2012 email from Edward Creese to [mediainvestorrelations@riotinto.com](mailto:mediainvestorrelations@riotinto.com), *et al.*, *attaching* a November 29, 2012 presentation entitled “Investor seminar, Sydney,” bearing bates number RT\_SEC\_00279011 through RT\_SEC\_00279079.

254. Attached hereto as Exhibit 254 is a true and correct copy of a December 4, 2012 email from Carmen Pereira to RTHQ Team Investor Relations, *attaching* a November 29, 2012 document entitled “Investor Seminar November 2012 – Script,” bearing bates numbers RT\_SEC\_00123014 through RT\_SEC\_00123115.

255. Attached hereto as Exhibit 255 is a true and correct copy of a November 26, 2012 email from Chris Maitland to David Ovington, *et al.*, *attaching* documents entitled “RTE 28 Nov Investor Seminar Q&As;” “RTE slides after RTHQ review;” “RTE commentary after RTHQ review;” “chart and data for China cost curve;” “Domestic exits and pricing chart;” and a November 26, 2012 presentation entitled “Sam Walsh,” bearing bates number RT\_SEC\_00233972 through RT\_SEC\_00233979 and RT\_SEC\_00233982 through RT\_SEC\_00234006.

256. Attached hereto as Exhibit 256 is a true and correct copy of a November 18, 2012 email from Chris Maitland to Mark Shannon, *attaching* November 28, 2012 slides, bearing bates number RT\_SEC\_00291010 through RT\_SEC\_00291012.

257. Attached hereto as Exhibit 257 is a true and correct copy of an excerpt from a November 21, 2012 email from Alison Smith to Simon Wensley, *et al.*, bearing bates number RT\_SEC\_00291146.

258. Attached hereto as Exhibit 258 is a true and correct copy of an excerpt from a November 27, 2012 email from Alison Smith to Matthew Halliday, bearing bates number RT\_SEC\_00294635.

259. Attached hereto as Exhibit 259 is a true and correct copy of a November 27, 2012 email from Chris Maitland to Mark Shannon, bearing bates number RT\_SEC\_00294271 through RT\_SEC\_00294272.

260. Attached hereto as Exhibit 260 is a true and correct copy of an excerpt from an August 8, 2012 email from Mark Shannon to Christine Game, *et al.*, bearing bates number RT\_SEC\_00290583.

261. Attached hereto as Exhibit 261 is a true and correct copy of an August 4, 2012 email from Guy Elliott to guidogre@yahoo.co.uk attaching a document entitled “Half-Year Results 2012 Q&A,” bearing bates number RT\_00358927 through RT\_00358939.

262. Attached hereto as Exhibit 262 is a true and correct copy of a November 23, 2012 email from Mark Shannon to Tom Albanese, *et al.*, *attaching* documents entitled “T&G combined script 23 Nov clean;” and “T&G combined 23 Nov v6,” bearing bates numbers RT\_SEC\_00004906 through RT\_SEC\_00004944.

263. Attached hereto as Exhibit 263 is a true and correct copy of an excerpt from an August 6, 2012 email from Tom Albanese to Andrew Harding, *et al.*, bearing bates number RT\_SEC\_00294652.

264. Attached hereto as Exhibit 264 is a true and correct copy of a November 24, 2012 email from Tom Albanese to Mark Shannon, *et al.*, bearing bates numbers RT\_SEC\_00291579 - RT\_SEC\_00291581.

265. Attached hereto as Exhibit 265 is a true and correct copy of a November 23, 2012 email from Tom Albanese to Mark Shannon, *et al.*, bearing bates number RT\_SEC\_00291569.

266. Attached hereto as Exhibit 266 is a true and correct copy of a July 13, 2005 calendar invitation from Ingrid Bystrom, bearing bates number RT\_00454272.

267. Attached hereto as Exhibit 267 is a true and correct copy of a July 13, 2005 calendar invitation from Ingrid Bystrom to Guy Elliott, bearing bates number RT\_00454307.

268. Attached hereto as Exhibit 268 is a true and correct copy of a November 22, 2012 email from Mark Shannon to Christina Mills, *et al.*, bearing bates numbers RT\_SEC\_00291567 through RT\_SEC\_00291568.

269. Attached hereto as Exhibit 269 is a true and correct copy of excerpts from an August 8, 2012 presentation entitled “2012 interim results,” bearing bates numbers RT\_SEC\_00035065 and RT\_SEC\_00035073-75.

270. Attached hereto as Exhibit 270 is a true and correct copy of excerpts from a document entitled “Interim 2012 script, version 11,” bearing bates numbers RT\_SEC\_00100743 and RT\_SEC\_00100753-57.

271. Attached hereto as Exhibit 271 is a true and correct copy of excerpts from BHP Billiton Limited’s 2010 Annual Report.

272. Attached hereto as Exhibit 272 is a true and correct copy of excerpts from BHP Billiton Limited’s 2012 Annual Report.

273. Attached hereto as Exhibit 273 is a true and correct copy of excerpts from Rio Tinto's 2010 Annual Report.

274. Attached hereto as Exhibit 274 is a true and correct copy of excerpts from Rio Tinto's 2011 Form 20-F.

275. Attached hereto as Exhibit 275 is a true and correct copy of excerpts from Vale S.A.'s 2010 Annual Report.

276. Attached hereto as Exhibit 276 is a true and correct copy of excerpts from Vale S.A.'s 2011 Annual Report.

277. Attached hereto as Exhibit 277 is a true and correct copy of excerpts from Vale S.A.'s October 27, 2011 Form 6-K.

278. Attached hereto as Exhibit 278 is a true and correct copy of excerpts from Vale S.A.'s April 24, 2012 Form 6-K.

279. Attached hereto as Exhibit 279 is a true and correct copy of excerpts from a document entitled "Briefing Note for Meeting with the President of the Republic of Mozambique," bearing bates numbers RT\_00318489 through RT\_00318493.

280. Attached hereto as Exhibit 280 is a true and correct copy of an April 8, 2011 press release entitled "Rio Tinto assumes control of Riversdale Mining Limited," bearing bates numbers RT\_SEC\_00232024 through RT\_SEC\_00232025.

281. Attached hereto as Exhibit 281 is a true and correct copy of excerpts from a document entitled "Investor Seminar September 2011," bearing partial bates numbers RT\_00028484 and RT\_00028486-88.

282. Attached hereto as Exhibit 282 is a true and correct copy of excerpts from a May 11, 2012 presentation entitled “Rio Tinto Coal Mozambique Business Review,” bearing bates numbers RT\_00241218 and RT\_00241222-30.

283. Attached hereto as Exhibit 283 is a true and correct copy of excerpts from a June 21, 2012 presentation entitled “RTE CEO Briefing, May 2012,” bearing bates numbers RT\_00220848 and RT\_00220868-70.

284. Attached hereto as Exhibit 284 is a true and correct copy of excerpts from a presentation entitled “RTE CEO Briefing, Reporting Period: June 2012,” bearing bates number RT\_00067897 and RT\_00067917-19.

285. Attached hereto as Exhibit 285 is a true and correct copy of excerpts from a document entitled “Rio Tinto Coal Mozambique Briefing Paper,” bearing bates numbers RT\_00058471 through RT\_00058473.

286. Attached hereto as Exhibit 286 is a true and correct copy of a September 3, 2012 email from Tom Albanese to Doug Ritchie, *et al.*, bearing bates number RT\_00399832.

287. Attached hereto as Exhibit 287 is a true and correct copy of an August 31, 2012 email from Kim Kirkland to Paul Dupree, *et al.*, bearing bates numbers RT\_SEC\_00234936 through RT\_SEC\_00234937.

288. Attached hereto as Exhibit 288 is a true and correct copy of excerpts from a November 17, 2012 email from Chris Carter to Andrew Woodley, *et al.*, *attaching* a November 14, 2012 presentation entitled “Rio Tinto Coal Mozambique, Strategic Production Planning Indications Preview,” bearing bates numbers RT\_00347948-53 and RT\_00347972-73.

289. Attached hereto as Exhibit 289 is a true and correct copy of a November 21, 2012 email from Andrew Woodley to Chris Carter, *et al.*, bearing bates numbers RT\_00050760 through RT\_00050762.

290. Attached hereto as Exhibit 290 is a true and correct copy of excerpts from a November 26, 2012 email from Preston Chiaro to Andrew Woodley, bearing bates numbers RT\_00077613 through RT\_00077614.

291. Attached hereto as Exhibit 291 is a true and correct copy of a November 19, 2012 email from Delwin Witthöft to Gabrielle Iwanow, *et al.*, bearing bates numbers RT\_00097848 through RT\_00097849.

292. Attached hereto as Exhibit 292 is a true and correct copy of a November 26, 2012 paper from Dan Larsen entitled “Review of Carrying Values,” bearing bates numbers RT\_00097850-51 and RT\_00097866-68.

293. Attached hereto as Exhibit 293 is a true and correct copy of excerpts from a November 26, 2012 paper from Dan Larsen entitled “Review of Carrying Values,” bearing bates number RT\_00000331-33 and RT\_00000347-49.

294. Attached hereto as Exhibit 294 is a true and correct copy of a November 22, 2012 email from Ingrid Bystrom to Guy Elliott, bearing bates number RT\_00359684.

295. Attached hereto as Exhibit 295 is a true and correct copy of a November 23, 2012 email from Dan Larsen to Ingrid Bystrom, *et al.*, bearing bates number RT\_00354280.

296. Attached hereto as Exhibit 296 is a true and correct copy of a November 21, 2012 calendar invitation from Mary Hess to Chris Carter, *et al.*, bearing bates number RT\_00412641.

297. Attached hereto as Exhibit 297 is a true and correct copy of a November 26, 2012 email from Kim Kirkland to Paul Dupree, *et al.*, bearing bates numbers RT\_SEC\_00011450 through RT\_SEC\_00011452.

298. Attached hereto as Exhibit 298 is a true and correct copy of excerpts of a November 27, 2012 email from Hugo Bague to Preston Chiaro, bearing bates number RT\_00328347.

299. Attached hereto as Exhibit 299 is a true and correct copy of a December 7, 2012 email from Chris Carter to Preston Chiaro, bearing bates numbers RT\_00333148 through RT\_00333149.

300. Attached hereto as Exhibit 300 is a true and correct copy of a December 10, 2012 email from Preston Chiaro to Tom Albanese, bearing bates number RT\_00068151.

301. Attached hereto as Exhibit 301 is a true and correct copy of excerpts from a November 2, 2012 memorandum re: “Mozambique Coal Projects Weekly,” bearing bates numbers RT\_SEC\_00166502 through RT\_SEC\_00166504.

302. Attached hereto as Exhibit 302 is a true and correct copy of excerpts from a presentation entitled “Project Mercury, Board Update, January 15, 2013,” bearing bates numbers RT\_00189896-99 and RT\_00189907-08.

303. Attached hereto as Exhibit 303 is a true and correct copy of excerpts from a memorandum re: “Rio Tinto Coal Mozambique Impairment Valuation – Update,” bearing bates numbers RT\_00124034 through RT\_00124037.

304. Attached hereto as Exhibit 304 is a true and correct copy of excerpts from a December 21, 2012 email from Preston Chiaro to Tom Albanese, *et al.*, *attaching* a memorandum re: “RTCM Resource and Reserve Summary - December 2012,” bearing bates numbers RT\_00320352 and RT\_00320360.



305. Attached hereto as Exhibit 305 is a true and correct copy of a December 27, 2012 email from Tom Albanese to Doug Ritchie, bearing bates number RT\_00068171.

306. Attached hereto as Exhibit 306 is a true and correct copy of excerpts from a December 31, 2012 email from Harry Kenyon-Slaney to Simon Wensley, *et al.*, bearing bates numbers RT\_00208307 through RT\_00208309.

307. Attached hereto as Exhibit 307 is a true and correct copy of excerpts from a document entitled “Meetings with management - notes of various meetings in relation to impairment - January 2013” bearing bates number SEC-UKFCA-E-0000262 through SEC\_UKFCA-E-0000263.

308. Attached hereto as Exhibit 308 is a true and correct copy of excerpts from the January 17, 2013 Rio Tinto Form 6-K.

309. Attached hereto as Exhibit 309 is a true and correct copy of excerpts from a report entitled *Potential move on Riversdale*, RBS, December 6, 2010.

310. Attached hereto as Exhibit 310 is a true and correct copy of excerpts from a report entitled *Rio Tinto and Riversdale Mining*, J.P. Morgan, December 23, 2010.

311. Attached hereto as Exhibit 311 is a true and correct copy of excerpts from a report entitled *A\$16ps bid for Riversdale*, Macquarie Equities Research, December 23, 2010.

312. Attached hereto as Exhibit 312 is a true and correct copy of a report entitled *Liberum Capital Morning Comment*, Liberum Capital, December 23, 2010.

313. Attached hereto as Exhibit 313 is a true and correct copy of excerpts from a report entitled *Statistical modeling and near-term catalysts indicate Rio Tinto should outperform BHP B*, Soci  t   G  n  rale, July 5, 2012.

314. Attached hereto as Exhibit 314 is a true and correct copy of excerpts from a report entitled *Talks with Riversdale Mining*, J.P. Morgan, December 6, 2010.

315. Attached hereto as Exhibit 315 is a true and correct copy of excerpts from a report entitled *Rio's multi-decade growth plan puts Riversdale in the spotlight*, Merrill Lynch, December 23, 2010

316. Attached hereto as Exhibit 316 is a true and correct copy of excerpts from a report entitled *FACTBOX – Southern Africa's coal rail and port bottlenecks*, Reuters, August 16, 2011.

317. Attached hereto as Exhibit 317 is a true and correct copy of excerpts from a report entitled *The Political Climate for Foreign Investment in Mozambique*, Bloomberg, August 9, 2011.

318. Attached hereto as Exhibit 318 is a true and correct copy of excerpts from a document entitled "Final Transcript" "RIO.L – Interim 2011 Rio Tinto plc Earnings Presentation."

319. Attached hereto as Exhibit 319 is a true and correct copy of excerpts from a report entitled *Feedback from Investor Seminar*, J.P. Morgan Cazenove, September 21, 2011.

320. Attached hereto as Exhibit 320 is a true and correct copy of excerpts from a report entitled *Talking up copper and coal growth*, Deutsche Bank, September 21, 2011.

321. Attached hereto as Exhibit 321 is a true and correct copy of excerpts from a document entitled "Rio Tinto PLC Investor Seminar – Final."

322. Attached hereto as Exhibit 322 is a true and correct copy of excerpts from a January 17, 2012 media release entitled "Fourth quarter 2011 operations review."

323. Attached hereto as Exhibit 323 is a true and correct copy of excerpts from a report entitled *4Q11 – Strong iron ore sales overshadows capex creep*, Deutsche Bank, January 17, 2012.

324. Attached hereto as Exhibit 324 is a true and correct copy of excerpts from an April 17, 2012 media release entitled “First quarter 2012 operations review.”

325. Attached hereto as Exhibit 325 is a true and correct copy of excerpts from a report entitled *IQ12 – tough operating conditions*, Deutsche Bank, April 17, 2012.

326. Attached hereto as Exhibit 326 is a true and correct copy of excerpts from a report entitled *Loose ends EXT, GRR, RIV tidied up; bauxite setback . . .*, Merrill Lynch, March 19, 2012.

327. Attached hereto as Exhibit 327 is a true and correct copy of excerpts from a report entitled *Capital allocation held to account*, Deutsche Bank, January 17, 2013, bearing Bates numbers RT\_00402089 through RT\_00402090.

328. Attached hereto as Exhibit 328 is a true and correct copy of excerpts from a report entitled *Time to boost exposure to Rio Tinto stock*, Société Générale, January 17, 2013.

329. Attached hereto as Exhibit 329 is a true and correct copy of excerpts from a report entitled *Write Off of US\$14B; CEO Tom Albanese Steps Down*, BMO Capital Markets, January 17, 2013.

330. Attached hereto as Exhibit 330 is a true and correct copy of excerpts from a report entitled *OW: USD14bn in write-downs and a new CEO*, HSBC, January 17, 2013.

331. Attached hereto as Exhibit 331 is a true and correct copy of excerpts from a report entitled *CEO steps down, but \$14bn of writedowns are less of a surprise – ALERT*, J.P. Morgan Cazenove, January 17, 2013.

332. Attached hereto as Exhibit 332 is a true and correct copy of excerpts from a report entitled *CEO change. One impairment too many*, UBS, January 17, 2013, bearing Bates numbers RT\_00383263 through RT\_00383264.

333. Attached hereto as Exhibit 333 is a true and correct copy of excerpts from a report entitled *Valuation driven by the iron ore price, not writedowns and CEO*, Goldman Sachs, January 17, 2013, bearing Bates numbers RT\_00402125 through RT\_00402126.

334. Attached hereto as Exhibit 334 is a true and correct copy of excerpts from a report entitled *Mining Daily*, Liberum Capital, January 17, 2013.

335. Attached hereto as Exhibit 335 is a true and correct copy of excerpts from a report entitled *Upgrade to Buy on Management Changes and Impairments*, Citi Research, January 17, 2013.

336. Attached hereto as Exhibit 336 is a true and correct copy of excerpts from a report entitled *Rio remains our top pick on higher prices*, Canaccord Genuity, January 29, 2013.

337. Attached hereto as Exhibit 337 is a true and correct copy of excerpts from a report entitled *Enter Sam Walsh*, Nomura Equity Research, January 17, 2013.

338. Attached hereto as Exhibit 338 is a true and correct copy of excerpts from a report entitled *Credit Opinion: Rio Tinto*, Moody's Investors Service, February 4, 2013, bearing bates numbers RT\_SEC\_00101930 through RT\_SEC\_00101932.

339. Attached hereto as Exhibit 339 is a true and correct copy of a January 17, 2013 email from Karl Nietvelt to Andrey Nikolaev, *et al.*, bearing bates number SPGI-RIOTINTO-0013297.

340. Attached hereto as Exhibit 340 is a true and correct copy of a report entitled *Fitch: Rio Tinto Charge Underlines Weak Outlook for Aluminum*, Fitch Ratings, January 17, 2013, bearing bates numbers RIOTINTO-FITCH 002116 through RIOTINTO-FITCH 002118.

341. Attached hereto as Exhibit 341 is a true and correct copy of excerpts from a report entitled *Research Update: Rio Tinto Outlook Revised to Negative on Uncertain Deleveraging*

*Prospects; 'A-/A-2' Ratings Affirmed*, S&P, February 25, 2013, bearing bates numbers RT\_SEC\_00176674 through RT\_SEC\_00176676.

342. Attached hereto as Exhibit 342 is a true and correct copy of excerpts from a February 27, 2013 email from Johnny Tshutlhedi to Andrew Waltho, *et al.*, bearing bates numbers RT\_00183786 through RT\_00183790.

343. Attached hereto as Exhibit 343 is a true and correct copy of excerpts from a report entitled *OW: Deconstructing RIO*, HSBC, December 7, 2012.

344. Attached hereto as Exhibit 344 is a true and correct copy of a report entitled *Rio in joint study for port*, Australian Financial Review, January 23, 2012.

345. Attached hereto as Exhibit 345 is a true and correct copy of excerpts from a report entitled *Interim ahead of expectations, it's all about iron ore*, Baillieu Research, August 9, 2012.

346. Attached hereto as Exhibit 346 is a true and correct copy of excerpts from a report entitled *Rio remains out top pick on higher prices*, Canaccord Genuity, January 29, 2013.

347. Attached hereto as Exhibit 347 is a true and correct copy of a report entitled *H1 2012 results a mixed bag: Mozambique disappoints but iron ore and MRRT reassure*, Société Générale, August 9, 2012.

348. Attached hereto as Exhibit 348 is a true and correct copy of excerpts from a report entitled *IHI2 – Growing where it counts*, Deutsche Bank, August 8, 2012.

349. Attached hereto as Exhibit 349 is a true and correct copy of a report, *Mozambique rejects coal barging study*, Reuters, March 1, 2012.

350. Attached hereto as Exhibit 350 is a true and correct copy of excerpts from a document entitled “The Financial Conduct Authority’s investigation into Rio Tinto plc (Operation Batley),” by Steven Brice and Christopher Drewe, September 6, 2017.

351. Attached hereto as Exhibit 351 is a true and correct copy of a presentation entitled “Rio Tinto Coal Mozambique Business Review,” dated May 11, 2012.

I declare under penalty of perjury that the foregoing is true and correct.

Dated: April 16, 2021

By: /s/ Jennifer L. Conn  
Jennifer L. Conn